

具有左右分数阶导数和时滞的非瞬时脉冲微分方程非线性边值问题

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摘要

本文研究了一类特殊的具有左右分数阶导数和时滞的非瞬时脉冲微分方程, 该方程具有交叉时滞, 且带有非线性边界条件。并基于上下解方法得到多个正解存在性定理。

关键词

左右分数阶导数, 时滞, 非瞬时脉冲微分方程, 非线性边界条件, 上下解方法

Nonlinear Boundary Value Problems for Non-Instantaneous Pulse Differential Equations with Left-Right Fractional Derivatives and Delays

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Abstract

In this paper, we study a class of special non-instantaneous impulsive differential equations with left and right fractional derivatives and delays. The equations have cross delays and nonlinear

boundary conditions. Based on the upper and lower solution method, we obtain the existence theorems of multiple positive solutions.

Keywords

Left-Right Fractional Derivatives, Time Delay, Non-Instantaneous Pulse, Nonlinear Boundary Conditions, Upper and Lower Solution Method

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1. 引言

分数阶微分方程非常适合刻画具有记忆和遗传性质的材料及过程，其对复杂系统的描述具有建模简单、描述准确、参数物理意义清楚等优势，因此也是复杂力学、物理过程数学建模的重要工具，如利用分数阶微积分在不同粘弹性流体的本构关系，在非牛顿流体中进行应用；分数阶 SEIR 传染病模型可以准确研究传染病、社交网络信息传播等方面的问题；在含未知参数的情况下，利用非线性分数阶系统状态估计分别含有分数阶有色过程噪声和有色测量噪声的连续时间问题。

在分数阶微分方程边值问题的研究[1] [2] [3] [4] [5]中，有时需要考虑左侧和右侧不同的定义，而同时带有左侧和右侧分数阶导数的微分方程相对于只含有分数阶右导数或左导数的分数阶微分方程，它的应用范围[6] [7] [8] [9]更加广泛，其在机械力学、生物工程、物理学、经济学等自然科学领域建立的数学模型中经常出现，并且具有很重要的作用，如用来分析空气中充满粒状材料时的室内外的温度数据等。

文献[10]研究了带有左右分数阶导数的微分方程边值问题：

$$\begin{cases} {}^c D_{b^-}^\alpha - {}^c D_{a^+}^\alpha T(t) + \lambda T(t) = 0, \\ T(a) = T_0, T(b) = T_1, \end{cases}$$

其中， $T \in C[0,1]$ ， ${}^c D_{b^-}^\alpha$ 为 Caputo 分数阶右导数， ${}^c D_{a^+}^\alpha$ 为 Caputo 分数阶左导数。作者利用分数阶微分方程的数值解针对实际问题进行分析。

文献[3]研究了带有左右阶导数的耦合微分方程边值问题：

$$\begin{cases} -({}_{0^+} D_t^\alpha u(t)) = f(t, v(t)), & t \in (0, T), \\ -({}_t D_T^\beta v(t)) = g(t, u(t)), & t \in (0, T), \\ u(0) = 0, \quad {}_{0^+} D_t^{\alpha-1} u(T) = r_1, \quad D_T^{\beta-1} v(\xi), \\ v(T) = 0, \quad {}_t D_T^{\beta-1} v(0) = r_2, \quad D_t^{\alpha-1} u(\xi), \end{cases}$$

其中， ${}_{0^+} D_t^\alpha, {}_t D_T^\beta$ 分别是 α 阶 R-L 分数阶左导数和 β 阶 R-L 分数阶右导数， $0 < \alpha, \beta \leq 2$ ， $\xi \in [0, T]$ 。作者运用上下解方法获得了边值问题解的存在性定理。

基于以上启发，本文研究含有左右分数阶导数和时滞的非瞬时脉冲微分方程非线性边值问题：

$$\begin{cases} {}^c D_{\xi^-}^\alpha u(t) = f_1(t, u(t), u(t+\tau_1)), & t \in [0, \xi], \\ {}^c D_{\xi^+}^\beta u(t) = f_2(t, u(t), u(t-\tau_2)), & t \in (\xi, 1], \\ \Delta u(\xi) = I(\xi, u(\xi)), \quad \Delta u'(\xi) = Q(\xi, u(\xi)), \\ h_0(u(0), u(1)) = 0, \quad h_1({}^c D_{\xi^-}^{\alpha-1} u(0), {}^c D_{\xi^+}^{\beta-1} u(1)) = 0 \end{cases} \quad (1)$$

解的存在性与多解性。其中, ${}^c D_{\xi^-}^\alpha$ 是右侧 Caputo 分数阶导数, ${}^c D_{\xi^+}^\beta$ 是左侧 Caputo 分数阶导数, $1 < \alpha, \beta \leq 2$, $\xi \in (0, 1)$, $u(\xi^+) = \lim_{\varepsilon \rightarrow 0^+} u(\xi + \varepsilon)$, $u(\xi^-) = \lim_{\varepsilon \rightarrow 0^-} u(\xi + \varepsilon)$, $\tau_1 \in (0, 1 - \xi)$, $\tau_2 \in (0, \xi)$, $f_1 \in C([0, \xi] \times \mathbb{R}^+ \times \mathbb{R}^+, \mathbb{R}^+)$, $f_2 \in C((\xi, 1] \times \mathbb{R}^+ \times \mathbb{R}^+, \mathbb{R}^+)$, $I, Q \in C(\mathbb{R}, \mathbb{R}^+)$, $h_0, h_1 \in C(\mathbb{R}^2, \mathbb{R})$ 为给定的非线性函数。

2. 线性边值问题

定义 1 [11]: 若 $\alpha > 0$, $a < b \in \mathbb{R}$ 则

$$\begin{aligned} {}_a^+ I_t^\alpha {}^c D_t^\alpha u(t) &= u(t) + c_1 + c_2(t-a) + c_3(t-a)^2 + \cdots + c_n(t-a)^{n-1}, \\ {}_b^- I_t^\alpha {}^c D_t^\alpha u(t) &= u(t) + d_1 + d_2(b-t) + d_3(b-t)^2 + \cdots + d_n(b-t)^{n-1}, \end{aligned}$$

其中 $c_i, d_i \in \mathbb{R}, i = 1, 2, \dots, n, n \in \mathbb{N}$ 。

引理 1 [12]: 令 E 为 Banach 空间, 且 $P \subset E$ 是一个正规锥。如果存在 $\alpha_1, \beta_1, \alpha_2, \beta_2 \in P$ 使

$$\alpha_1 \prec \beta_1 \prec \alpha_2 \prec \beta_2,$$

且 $A: [\alpha_1, \beta_2] \rightarrow E$ 是全连续算子, 且为强增算子, 使

$$\alpha_1 \prec A\alpha_1, \quad A\beta_1 \prec \beta_1, \quad \alpha_2 \prec A\alpha_2, \quad A\beta_2 \preceq \beta_2.$$

则算子 A 至少有三个不动点 x_1, x_2, x_3 使得

$$\alpha_1 \preceq x_1 \prec \beta_1, \quad \alpha_2 \prec x_2 \preceq \beta_2, \quad \alpha_2 \not\preceq x_2 \not\preceq \beta_2.$$

令 $J = [0, 1]$, $J_0 = J \setminus \xi$,

$E = PC[J, \mathbb{R}] = \{u: J \rightarrow \mathbb{R}: u \text{ 在 } J_0 \text{ 上是连续的, } u(\xi^+) \text{ 与 } u(\xi^-) \text{ 存在且 } u(\xi^-) = u(\xi)\}$ 。显然 E 是 Banach 空间且定义其范数为

$$\|u\| = \sup_{t \in [0, 1]} |u(t)|.$$

令 $\|u\|_{[0, \xi]} = \sup_{t \in [0, \xi]} |u(t)|$, $\|u\|_{(\xi, 1]} = \sup_{t \in (\xi, 1]} |u(t)|$, 则 $\|u\| = \max\{\|u\|_{[0, \xi]}, \|u\|_{(\xi, 1]}\}$ 。

引理 2: 令 $h \in C([0, \xi], \mathbb{R}^+)$, $y \in C((\xi, 1], \mathbb{R}^+)$, 对任意 $m_i, n_i \in \mathbb{R}, i = 1, 2$, 且 $\Delta_1 \neq 0$ 。则边值问题

$$\begin{cases} {}^c D_{\xi^-}^\alpha u(t) = h(t), & t \in (0, \xi), \\ {}^c D_{\xi^+}^\beta u(t) = y(t), & t \in (\xi, 1), \\ \Delta u(\xi) = I, \quad \Delta u'(\xi) = Q, \\ m_1 u(0) + n_1 u(1) = \gamma_0, \quad m_2 {}^c D_{\xi^-}^{\alpha-1} u(0) + n_2 {}^c D_{\xi^+}^{\beta-1} u(1) = \gamma_1 \end{cases} \quad (2)$$

在 E 中存在唯一解

$$u(t) = \begin{cases} \int_0^\xi G_1(t,s)h(s)ds + \int_\xi^1 g_2(t,s)y(s)ds + \Delta_2 + \frac{t}{\Delta_1} \left(-\frac{Qn_2(1-\xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1 \right), & t \in [0, \xi], \\ \int_\xi^1 G_2(t,s)y(s)ds + \int_0^\xi g_1(t,s)h(s)ds + \Delta_3 + \frac{t}{\Delta_1} \left(\frac{Qm_2}{\Gamma(3-\beta)} \xi^{2-\alpha} - \gamma_1 \right), & t \in (\xi, 1]. \end{cases} \quad (3)$$

其中

$$G_1(t,s) = \begin{cases} g_1(t,s), & 0 \leq s \leq t \leq \xi, \\ g_1(t,s) + \frac{1}{\Gamma(\alpha)}(s-t)^{\alpha-1}, & 0 \leq t \leq s \leq \xi; \end{cases} \quad (4)$$

$$G_2(t,s) = \begin{cases} g_2(t,s) + \frac{1}{\Gamma(\beta)}(t-s)^{\beta-1}, & \xi \leq s \leq t \leq 1, \\ g_2(t,s), & \xi \leq t \leq s \leq 1; \end{cases} \quad (5)$$

记

$$\Delta_1 = \frac{m_2 \xi^{2-\alpha}}{\Gamma(3-\alpha)} - \frac{n_2(1-\xi)^{2-\beta}}{\Gamma(3-\beta)}; \Delta_2 = -\frac{1}{m_1 + n_1} \left(n_1 I + n_1 \left(1 - \xi + \frac{n_2(1-\xi)^{2-\beta}}{\Delta_1 \Gamma(3-\beta)} \right) Q - \frac{n_1}{\Delta_1} \gamma_1 - \gamma_0 \right);$$

$$\Delta_3 = -\frac{1}{m_1 + n_1} \left(-m_1 I + \left(m_1 \xi + n_1 + \frac{n_1 n_2 (1-\xi)^{2-\beta}}{\Delta_1 \Gamma(3-\beta)} \right) Q - \frac{n_1}{\Delta_1} \gamma_1 - \gamma_0 \right);$$

$$g_1(t,s) = -\frac{1}{m_1 + n_1} \left(\frac{m_1}{\Gamma(\alpha)} s^{\alpha-1} + \frac{n_1 m_2}{\Delta_1} \right) + \frac{tm_2}{\Delta_1}; g_2(t,s) = -\frac{n_1}{m_1 + n_1} \left(\frac{1}{\Gamma(\beta)} (1-s)^{\beta-1} + \frac{n_2}{\Delta_1} \right) - \frac{tn_2}{\Delta_1}.$$

证明：设 $u \in E$ 是边值问题(2)的解，则由定义 1 可知存在常数 $c_i \in \mathbb{R}$, $i = 0, 1, 2, 3$ 使 ${}^c D_{\xi^-}^\alpha u(t) = h(t)$ 的解为：

$$u(t) = {}_t I_{\xi^-}^\alpha h(t) + c_0 + c_1 t = \frac{1}{\Gamma(\alpha)} \int_t^\xi (s-t)^{\alpha-1} h(s) ds + c_0 + c_1 t,$$

$$u'(t) = -\frac{1}{\Gamma(\alpha-1)} \int_t^\xi (s-t)^{\alpha-2} h(s) ds + c_1,$$

$${}^c D_{\xi^-}^{\alpha-1} u(t) = \int_t^\xi h(s) ds - \frac{c_1}{\Gamma(3-\alpha)} (\xi-t)^{2-\alpha}$$

${}^c D_{\xi^+}^\beta u(t) = y(t)$ 的解为：

$$u(t) = {}_{\xi^+} I_t^\beta y(t) + c_2 + c_3 t = \frac{1}{\Gamma(\beta)} \int_\xi^t (t-s)^{\beta-1} y(s) ds + c_2 + c_3 t,$$

$$u'(t) = \frac{1}{\Gamma(\beta-1)} \int_\xi^t (t-s)^{\beta-2} y(s) ds + c_3,$$

$${}^c D_{\xi^+}^{\beta-1} u(t) = \int_\xi^t y(s) ds + \frac{c_3}{\Gamma(3-\beta)} (t-\xi)^{2-\beta}$$

由边值条件 $\Delta u(\xi) = I, \Delta u'(\xi) = Q$ 得

$$\begin{cases} c_2 - c_0 = I - Q\xi, \\ c_3 - c_1 = Q. \end{cases}$$

再由边值条件 $m_1 u(0) + n_1 u(1) = \gamma_0$, $m_2 {}^c D_{\xi^-}^{\alpha-1} u(0) + n_2 {}^c D_t^{\beta-1} u(1) = \gamma_1$, 可得

$$\begin{cases} c_0 = -\frac{1}{m_1 + n_1} \left(\int_0^{\xi} \left(\frac{m_1}{\Gamma(\alpha)} s^{\alpha-1} + \frac{n_1 m_2}{\Delta_1} \right) h(s) ds + n_1 \int_{\xi}^1 \left(\frac{1}{\Gamma(\beta)} (1-s)^{\beta-1} - \frac{n_2}{\Delta_1} \right) y(s) ds \right. \\ \quad \left. + n_1 I + n_1 \left(1 - \xi + \frac{n_2 (1-\xi)^{2-\beta}}{\Delta_1 \Gamma(3-\beta)} \right) Q - \frac{n_1}{\Delta_1} \gamma_1 - \gamma_0 \right), \\ c_1 = \frac{1}{\Delta_1} \left(m_2 \int_0^{\xi} h(s) ds + n_2 \int_{\xi}^1 y(s) ds + \frac{Q n_2 (1-\xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1 \right), \\ c_2 = -\frac{1}{m_1 + n_1} \left(\int_0^{\xi} \left(\frac{m_1 s^{\alpha-1}}{\Gamma(\alpha)} + \frac{n_1 m_2}{\Delta_1} \right) h(s) ds + n_1 \int_{\xi}^1 \left(\frac{(1-s)^{\beta-1}}{\Gamma(\beta)} - \frac{n_2}{\Delta_1} \right) y(s) ds \right. \\ \quad \left. - m_1 I + \left(m_1 \xi + n_1 + \frac{n_1 n_2 (1-\xi)^{2-\beta}}{\Delta_1 \Gamma(3-\beta)} \right) Q - \frac{n_1}{\Delta_1} \gamma_1 - \gamma_0 \right), \\ c_3 = \frac{1}{\Delta_1} \left(m_2 \int_0^{\xi} h(s) ds + n_2 \int_{\xi}^1 y(s) ds + \frac{Q m_2}{\Gamma(3-\beta)} \xi^{2-\alpha} - \gamma_1 \right). \end{cases}$$

因此, 当 $t \in [0, \xi]$ 时,

$$\begin{aligned} u(t) &= \frac{1}{\Gamma(\alpha)} \int_t^{\xi} (s-t)^{\alpha-1} h(s) ds - \frac{1}{m_1 + n_1} \left(\int_0^{\xi} \left(\frac{m_1}{\Gamma(\alpha)} s^{\alpha-1} + \frac{n_1 m_2}{\Delta_1} \right) h(s) ds \right. \\ &\quad \left. + n_1 \int_{\xi}^1 \left(\frac{1}{\Gamma(\beta)} (1-s)^{\beta-1} - \frac{n_2}{\Delta_1} \right) y(s) ds + n_1 I + n_1 \left(1 - \xi + \frac{n_2 (1-\xi)^{2-\beta}}{\Delta_1 \Gamma(3-\beta)} \right) Q - \frac{n_1}{\Delta_1} \gamma_1 - \gamma_0 \right) \\ &\quad + \frac{t}{\Delta_1} \left(m_2 \int_0^{\xi} h(s) ds + n_2 \int_{\xi}^1 y(s) ds + \frac{Q n_2 (1-\xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1 \right) \\ &= \int_0^{\xi} G_1(t, s) h(s) ds + \int_{\xi}^1 g_2(t, s) y(s) ds + \Delta_2 + \frac{t}{\Delta_1} \left(\frac{Q n_2 (1-\xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1 \right). \end{aligned}$$

当 $t \in (\xi, 1]$ 时,

$$\begin{aligned} u(t) &= \frac{1}{\Gamma(\beta)} \int_{\xi}^t (t-s)^{\beta-1} y(s) ds - \frac{1}{m_1 + n_1} \left(\int_0^{\xi} \left(\frac{m_1}{\Gamma(\alpha)} s^{\alpha-1} + \frac{n_1 m_2}{\Delta_1} \right) h(s) ds \right. \\ &\quad \left. + n_1 \int_{\xi}^1 \left(\frac{1}{\Gamma(\beta)} (1-s)^{\beta-1} + \frac{n_2}{\Delta_1} \right) y(s) ds - m_1 I + \left(m_1 \xi + n_1 + \frac{n_1 n_2 (1-\xi)^{2-\beta}}{\Delta_1 \Gamma(3-\beta)} \right) Q - \frac{n_1}{\Delta_1} \gamma_1 - \gamma_0 \right) \\ &\quad + \frac{t}{\Delta_1} \left(m_2 \int_0^{\xi} h(s) ds + n_2 \int_{\xi}^1 y(s) ds + \frac{Q m_2}{\Gamma(3-\beta)} \xi^{2-\alpha} - \gamma_1 \right) \\ &= \int_{\xi}^1 G_2(t, s) y(s) ds + \int_0^{\xi} g_1(t, s) h(s) ds + \Delta_3 + \frac{t}{\Delta_1} \left(\frac{Q m_2}{\Gamma(3-\beta)} \xi^{2-\alpha} - \gamma_1 \right). \end{aligned}$$

易证(3)是方程(2)的解, 反之亦然。

证毕。

为了以后证明，我们给出如下假设：

$$(H1) \quad m_i, n_i \in \mathbb{R} (i=1,2), \quad n_1 > 0, n_2 > 0, -n_1 > m_1 > -\frac{n_1}{\xi}, \gamma_1 \leq 0, \gamma_0 \leq 0,$$

$$m_2 > \max \left\{ \frac{\Gamma(3-\alpha)\xi^{\alpha-1}n_2}{\Gamma(3-\beta)(\Gamma(3-\alpha)\Gamma(\alpha)-\xi)}, -\frac{\Gamma(3-\alpha)n_2(1-\xi)^{2-\beta}}{\Gamma(3-\beta)\xi^{2-\alpha}} \right\}.$$

引理 3: 假设(H1)成立，则由式(4)、(5)定义的函数 $G_i(t,s), i=1,2$ 满足以下性质：

- 1) $0 < G_1(0,s) \leq G_1(t,s) \leq G_1(\xi,s)$ ，对任意 $(t,s) \in [0,\xi] \times [0,\xi]$ ；
- 2) $0 < G_2(\xi,s) \leq G_2(t,s) \leq G_2(1,s)$ ，对任意 $(t,s) \in [\xi,1] \times [\xi,1]$ 。

证明：1) 显然 $G_i(t,s), i=1,2$ 为连续函数。由(H1)知， $\Delta_1 > 0$ ， $m_2 > \frac{\xi^{\alpha-1}}{\Gamma(\alpha)}\Delta_1$ ，则对于 $t \in [0,\xi]$ ，当 $0 \leq s \leq t \leq \xi$ 时，

$$G_1(t,s) = g_1(t,s) = -\frac{1}{m_1+n_1} \left(\frac{m_1}{\Gamma(\alpha)} s^{\alpha-1} + \frac{n_1 m_2}{\Delta_1} \right) + \frac{t m_2}{\Delta_1}, \quad \frac{\partial g_1(t,s)}{\partial t} = \frac{m_2}{\Delta_1} > 0;$$

当 $0 \leq s \leq t \leq \xi$ 时，由于 $G_1(t,s) = g_1(t,s) + \frac{1}{\Gamma(\alpha)}(s-t)^{\alpha-1}$ ，

$$\frac{\partial G_1(t,s)}{\partial t} = -\frac{1}{\Gamma(\alpha-1)}(s-t)^{\alpha-2} + \frac{m_2}{\Delta_1}, \quad \frac{\partial^2 G_1(t,s)}{\partial t^2} = \frac{\alpha-2}{\Gamma(\alpha-1)}(s-t)^{\alpha-3} < 0,$$

则 $\frac{\partial G_1(t,s)}{\partial t} \geq \frac{\partial G_1(s,s)}{\partial t} = \frac{m_2}{\Delta_1} > 0$ 。因此， $G_1(t,s)$ 是关于 t 的单调递增函数，且

$$G_1(0,s) \leq G_1(t,s) \leq G_1(\xi,s).$$

又

$$\begin{aligned} G_1(0,s) &= g_1(0,s) + \frac{1}{\Gamma(\alpha)} s^{\alpha-1} = -\frac{1}{m_1+n_1} \left(\frac{m_1}{\Gamma(\alpha)} s^{\alpha-1} + \frac{n_1 m_2}{\Delta_1} \right) + \frac{1}{\Gamma(\alpha)} s^{\alpha-1} \\ &= -\frac{1}{m_1+n_1} \left(\frac{-n_1}{\Gamma(\alpha)} s^{\alpha-1} + \frac{n_1 m_2}{\Delta_1} \right) > -\frac{n_1}{m_1+n_1} \left(\frac{-1}{\Gamma(\alpha)} \xi^{\alpha-1} + \frac{m_2}{\Delta_1} \right) > 0, \end{aligned}$$

则 $0 < G_1(0,s) \leq G_1(t,s) \leq G_1(\xi,s)$ 成立。

2) 对于 $t \in [\xi,1]$ ，当 $\xi \leq t \leq s \leq 1$ 时，

$$G_2(t,s) = g_2(t,s) = -\frac{n_1}{m_1+n_1} \left(\frac{1}{\Gamma(\beta)} (1-s)^{\beta-1} + \frac{n_2}{\Delta_1} \right) + \frac{t m_2}{\Delta_1}, \quad \frac{\partial g_2(t,s)}{\partial t} = \frac{n_2}{\Delta_1} > 0;$$

当 $\xi \leq s \leq t \leq 1$ 时，

$$G_2(t,s) = g_2(t,s) + \frac{1}{\Gamma(\beta)}(t-s)^{\beta-1}, \quad \frac{\partial G_2(t,s)}{\partial t} = \frac{n_2}{\Delta_1} + \frac{1}{\Gamma(\beta-1)}(t-s)^{\beta-2} > 0,$$

则 $G_2(t,s)$ 是关于 t 的单调递增函数，那么

$$G_2(\xi,s) \leq G_2(t,s) \leq G_2(1,s).$$

又

$$G_2(\xi, s) = -\frac{n_1}{m_1 + n_1} \left(\frac{1}{\Gamma(\beta)} (1-s)^{\beta-1} + \frac{n_2}{\Delta_1} \right) + \frac{n_2}{\Delta_1} = \frac{1}{m_1 + n_1} \left(\frac{n_1}{\Gamma(\beta)} (1-s)^{\beta-1} + \frac{n_2(1-(m_1+n_1)\xi)}{\Delta_1} \right) > 0,$$

因此, $0 < G_2(\xi, s) \leq G_2(t, s) \leq G_2(1, s)$ 成立。

证毕。

引理 4: 若(H1)成立, $I, Q \in \mathbb{R}^+$, $\Delta_1 \neq 0$ 。若 u 满足

$$\begin{cases} {}^c D_{\xi^-}^\alpha u(t) \geq 0, & t \in (0, \xi), \\ {}^c D_{\xi^+}^\beta u(t) \geq 0, & t \in (\xi, 1), \\ \Delta u(\xi) = I, \quad \Delta u'(\xi) = Q, \\ m_1 u(0) + n_1 u(1) \leq 0, \quad m_2 {}^c D_{\xi^-}^{\alpha-1} u(0) + n_2 {}^c D_{\xi^+}^{\beta-1} u(1) \geq 0, \end{cases} \quad (4)$$

则 $u(t) \geq 0, t \in [0, 1]$ 。

证明: 对任意 $h \in C([0, \xi], \mathbb{R}^+)$, $y \in C((\xi, 1], \mathbb{R}^+)$, 由于 $\gamma_1 \leq 0, \gamma_0 \leq 0$ 为常数。考虑以下边值问题:

$$\begin{cases} {}^c D_{\xi^-}^\alpha u(t) = h(t), & t \in [0, \xi], \\ {}^c D_{\xi^+}^\beta u(t) = y(t), & t \in (\xi, 1], \\ \Delta u(\xi) = I, \quad \Delta u'(\xi) = Q, \\ m_1 u(0) + n_1 u(1) = \gamma_0, \quad m_2 {}^c D_{\xi^-}^{\alpha-1} u(0) + n_2 {}^c D_{\xi^+}^{\beta-1} u(1) = \gamma_1. \end{cases}$$

由引理 2 可得

$$u(t) = \begin{cases} \int_0^\xi G_1(t, s) h(s) ds + \int_\xi^1 g_2(t, s) y(s) ds + \Delta_2 + \frac{t}{\Delta_1} \left(\frac{Q n_2 (1-\xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1 \right), & t \in [0, \xi], \\ \int_\xi^1 G_2(t, s) y(s) ds + \int_0^\xi g_1(t, s) h(s) ds + \Delta_2 + \frac{t}{\Delta_1} \left(\frac{Q m_2}{\Gamma(3-\beta)} \xi^{2-\alpha} - \gamma_1 \right), & t \in (\xi, 1]. \end{cases}$$

由(H1)可得, $\Delta_1, \Delta_2, \Delta_3 > 0, \frac{Q n_2 (1-\xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1 > 0, \frac{Q m_2 \xi^{2-\alpha}}{\Gamma(3-\beta)} - \gamma_1 > 0, t \in [0, 1]$ 。再由引理 3 可得

$u(t) \geq 0, t \in [0, 1]$ 显然成立。

证毕。

3. 分数阶微分方程的上下解方法

为方便叙述, 我们假设下文满足以下假设:

(H2) 对任意 $u_1 \leq u_2, v_1 \leq v_2, f(t, u_1, v_1) \leq f(t, u_2, v_2), t \in [0, \xi]$,

$$g(t, u_1, v_1) \leq g(t, u_2, v_2), t \in (\xi, 1], \quad I(u_1) \leq I(u_2), Q(u_1) \leq Q(u_2), t \in [0, 1].$$

对任意 $u_1 < u_2, v_1 < v_2, f(t, u_1, v_1) < f(t, u_2, v_2), t \in [0, \xi]$,

$$g(t, u_1, v_1) < g(t, u_2, v_2), t \in (\xi, 1], \quad I(u_1) < I(u_2), Q(u_1) < Q(u_2), t \in [0, 1].$$

(H3) 若(H1)成立, 且 $x_1, x_2, y_1, y_2 \in \mathbb{R}$, 当 $x_1 \leq x_2, y_1 \leq y_2$ 时,

$$h_0(x_2, y_2) - h_0(x_1, y_1) \leq -m_1(x_2 - x_1) - n_1(y_2 - y_1),$$

$$h_1(x_2, y_2) - h_0(x_1, y_1) \geq -m_2(x_2 - x_1) - n_2(y_2 - y_1).$$

记 $P = \{u \in E : u(t) \geq 0, t \in [0, 1]\}$, 显然 P 为 E 中的正规体锥。且若 $u(t) \leq v(t), t \in [0, 1]$, 则 $u \leq v \in P$ 。对任意 $u \in P$, 考虑如下边值问题:

$$\begin{cases} {}^c D_{\xi^-}^\alpha u(t) = f_1(t, x(t), x(t + \tau_1)), & t \in (0, \xi), \\ {}^c D_t^\beta u(t) = f_2(t, x(t), x(t - \tau_2)), & t \in (\xi, 1), \\ \Delta u(\xi) = I(\xi, x(\xi)), \quad \Delta u'(\xi) = Q(\xi, x(\xi)), \\ m_1 u(0) + n_1 u(1) = h_0(x(0), x(1)) + m_1 x(0) + n_1 x(1) := \gamma_0(x), \\ m_2 {}^c D_{\xi^-}^{\alpha-1} u(0) + n_2 {}^c D_{\xi^+}^{\beta-1} u(1) \\ = h_1({}^c D_{\xi^-}^{\alpha-1} x(0), {}^c D_{\xi^+}^{\beta-1} x(1)) + m_2 {}^c D_{\xi^-}^{\alpha-1} x(0) + n_2 {}^c D_{\xi^+}^{\beta-1} x(1) := \gamma_1(x). \end{cases} \tag{5}$$

由引理 2 知, 边值问题(5)有唯一解

$$u(t) = \begin{cases} \int_0^\xi G_1(t, s) f_1(s, x(s), x(s + \tau_1)) ds + \int_\xi^1 g_2(t, s) f_2(s, x(s), x(s - \tau_2)) ds \\ + \Delta_2^x + \frac{t}{\Delta_1} \left(\frac{Q(\xi, x(\xi)) n_2 (1 - \xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1(x) \right), & t \in [0, \xi], \\ \int_\xi^1 G_2(t, s) f_2(s, x(s), x(s - \tau_2)) ds + \int_0^\xi g_1(t, s) f_1(s, x(s), x(s + \tau_1)) ds \\ + \Delta_3^x + \frac{t}{\Delta_1} \left(\frac{Q(\xi, x(\xi)) m_2 \xi^{2-\alpha}}{\Gamma(3-\beta)} - \gamma_1(x) \right), & t \in (\xi, 1]. \end{cases}$$

其中

$$\begin{aligned} \Delta_2^x &= -\frac{1}{m_1 + n_1} \left(n_1 I(\xi, x(\xi)) + n_1 \left(1 - \xi + \frac{n_2 (1 - \xi)^{2-\beta}}{\Delta_1 \Gamma(3-\beta)} \right) Q(\xi, x(\xi)) - \frac{n_1}{\Delta_1} \gamma_1(x) - \gamma_0(x) \right); \\ \Delta_3^x &= -\frac{1}{m_1 + n_1} \left(-m_1 I(\xi, x(\xi)) + \left(m_1 \xi + n_1 + \frac{n_1 n_2}{\Delta_1 \Gamma(3-\beta)} \right) Q(\xi, x(\xi)) - \frac{n_1}{\Delta_1} \gamma_1(x) - \gamma_0(x) \right). \end{aligned}$$

定义算子

$$Tx(t) = \begin{cases} \int_0^\xi G_1(t, s) f_1(s, x(s), x(s + \tau_1)) ds + \int_\xi^1 g_2(t, s) f_2(s, x(s), x(s - \tau_2)) ds \\ + \Delta_2^x + \frac{t}{\Delta_1} \left(\frac{Q(\xi, x(\xi)) n_2 (1 - \xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1(x) \right), & t \in [0, \xi], \\ \int_\xi^1 G_2(t, s) f_2(s, x(s), x(s - \tau_2)) ds + \int_0^\xi g_1(t, s) f_1(s, x(s), x(s + \tau_1)) ds \\ + \Delta_3^x + \frac{t}{\Delta_1} \left(\frac{Q(\xi, x(\xi)) m_2 \xi^{2-\alpha}}{\Gamma(3-\beta)} - \gamma_1(x) \right), & t \in (\xi, 1]. \end{cases}$$

引理 5: 若(H1)成立, 则 T 为全连续算子。

证明: 由引理 3, 引理 4 知, 对任意 $x \in P$, 当 $t \in [0, 1]$ 时, $Tx \geq 0$ 显然成立。

因此, $T: P \rightarrow P$ 是有意义的。

接下来, 我们分两步证明:

第一步: T 是连续算子。

设对任意 $x_n \in P$, $n = 1, 2, \dots$ 存在 $x \in P$ 使得当 $n \rightarrow \infty$ 时, $\|x_n - x\| \rightarrow 0$ 。则存在 $\bar{M}_0 > 0$, 使得 $\|x_n\| \leq \bar{M}_0$, $\|x\| \leq \bar{M}_0$ 。又由于 f_1, f_2 连续, $I, Q \in C(\mathbb{R}, \mathbb{R})$, 且 $\gamma_0(x), \gamma_1(x) \in \mathbb{R}$, 则

$$\begin{aligned}\lim_{n \rightarrow \infty} (f_1(t, x_n(t), x_n(t + \tau_1)) - f_1(t, x(t), x(t + \tau_1))) &= 0, \\ \lim_{n \rightarrow \infty} (f_2(t, x_n(t), x_n(t - \tau_2)) - f_2(t, x(t), x(t - \tau_2))) &= 0, \\ \lim_{n \rightarrow \infty} |I(x_n(t)) - I(x(t))| &= 0, \lim_{n \rightarrow \infty} |Q(x_n(t)) - Q(x(t))| = 0, \\ \lim_{n \rightarrow \infty} (\gamma_0(x_n) - \gamma_0(x)) &= 0, \lim_{n \rightarrow \infty} (\gamma_1(x_n) - \gamma_1(x)) = 0,\end{aligned}$$

且存在常数 $\bar{M}_1 > 0$, 使得 $\sup_{(t, u, v) \in A} |f_1(t, u, v)| \leq \bar{M}_1$, $\sup_{(t, u, v) \in B} |f_2(t, u, v)| \leq \bar{M}_1$, 其中

$$A = [0, \xi] \times [-\bar{M}_0, \bar{M}_0] \times [-\bar{M}_0, \bar{M}_0], B = [\xi, 1] \times [-\bar{M}_0, \bar{M}_0] \times [-\bar{M}_0, \bar{M}_0].$$

再由引理 3 可得, 当 $t \in [0, \xi]$ 时,

$$\begin{aligned}& |T(x_n) - T(x)| \\ &= \left| \int_0^\xi G_1(t, s) (f_1(s, x_n(s), x_n(s + \tau_1)) - f_1(s, x(s), x(s + \tau_1))) ds \right. \\ &\quad + \int_\xi^1 g_2(t, s) (f_2(s, x_n(s), x_n(s - \tau_2)) - f_2(s, x(s), x(s - \tau_2))) ds \\ &\quad \left. + (\Delta_2^{x_n} - \Delta_2^x) + \frac{t}{\Delta_1} \left(\frac{(Q(\xi, x_n(\xi)) - Q(\xi, x(\xi))) n_2 (1 - \xi)^{2-\beta}}{\Gamma(3 - \beta)} - (\gamma_1(x_n) - \gamma_1(x)) \right) \right| \\ & \\ & |T(x_n) - T(x)| \\ &= \left| \int_0^\xi G_1(t, s) (f_1(s, x_n(s), x_n(s + \tau_1)) - f_1(s, x(s), x(s + \tau_1))) ds \right. \\ &\quad + \int_\xi^1 g_2(t, s) (f_2(s, x_n(s), x_n(s - \tau_2)) - f_2(s, x(s), x(s - \tau_2))) ds \\ &\quad \left. + (\Delta_2^{x_n} - \Delta_2^x) + \frac{t}{\Delta_1} \left(\frac{(Q(\xi, x_n(\xi)) - Q(\xi, x(\xi))) n_2 (1 - \xi)^{2-\beta}}{\Gamma(3 - \beta)} - (\gamma_1(x_n) - \gamma_1(x)) \right) \right|\end{aligned}$$

则由 Lebesgue 控制收敛定理可知, $\lim_{n \rightarrow \infty} \|Tu_n - Tu\|_{[0, \xi]} = 0$ 。同理可得, $\lim_{n \rightarrow \infty} \|Tu_n - Tu\|_{[\xi, 1]} = 0$ 。

因此, 对任意 $t \in [0, 1]$, 有 $\lim_{n \rightarrow \infty} \|Tu_n - Tu\| = 0$, 则算子 T 是连续算子。

第二步: T 是紧的。

令 $\Omega \subset P$ 为有界集, 由 f_1, f_2, I, Q 的连续性得, 存在 $\bar{M}_2 > 0$, 使得对任意 $t \in [0, \xi], u, v \in \Omega$, 有 $|f_1(t, u, v)| \leq \bar{M}_2$; 对任意 $t \in (\xi, 1], u, v \in \Omega$ 有 $|f_2(t, u, v)| \leq \bar{M}_2, |I| \leq \bar{M}_2, |Q| \leq \bar{M}_2, |\gamma_0(x)| \leq \bar{M}_2, |\gamma_1(x)| \leq \bar{M}_2$ 。

则

$$\begin{aligned} |\Delta_2^x| &= -\frac{1}{m_1 + n_1} \left(n_1 \left(2 - \xi + \frac{n_2(1-\xi)^{2-\beta} + \Gamma(3-\beta)}{\Delta_1 \Gamma(3-\beta)} \right) - 1 \right) \bar{M}_2; \\ |\Delta_3^x| &= -\frac{1}{m_1 + n_1} \left(m_1(\xi - 1) + n_1 \left(1 + \frac{n_2 - \Gamma(3-\beta)}{\Delta_1 \Gamma(3-\beta)} \right) - 1 \right) \bar{M}_2 \\ \sup_{t \in [0, \xi]} |Tu(t)| &\leq \left| \int_0^\xi G_1(\xi, s) f_1(s, x(s), x(s + \tau_1)) ds + \int_\xi^1 g_2(1, s) f_2(s, x(s), x(s - \tau_2)) ds \right. \\ &\quad \left. + \Delta_2^x + \frac{\xi}{\Delta_1} \left(\frac{Q(\xi, x(\xi)) n_2 (1-\xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1(x) \right) \right| \\ &\leq \left(\int_0^\xi G_1(\xi, s) ds + \int_\xi^1 g_2(1, s) ds - \frac{1}{m_1 + n_1} \left(n_1 \left(2 - \xi + \frac{n_2(1-\xi)^{2-\beta} - \Gamma(3-\beta)}{\Delta_1 \Gamma(3-\beta)} \right) - 1 \right) \right. \\ &\quad \left. + \frac{\xi}{\Delta_1} \left(\frac{n_2(1-\xi)^{2-\beta}}{\Gamma(3-\beta)} - 1 \right) \right) \bar{M}_2, \\ \sup_{t \in (\xi, 1]} |Tu(t)| &\leq \left| \int_\xi^1 G_2(1, s) f_2(s, x(s), x(s - \tau_2)) ds + \int_0^\xi g_1(\xi, s) f_1(s, x(s), x(s + \tau_1)) ds \right. \\ &\quad \left. + \Delta_3^x + \frac{\xi}{\Delta_1} \left(\frac{Q(\xi, x(\xi)) m_2 \xi^{2-\alpha}}{\Gamma(3-\beta)} - \gamma_1(x) \right) \right| \\ &\leq \left(\int_\xi^1 G_2(1, s) ds + \int_0^\xi g_1(\xi, s) ds - \frac{1}{m_1 + n_1} \left(m_1(\xi - 1) + n_1 \left(1 + \frac{n_2 - \Gamma(3-\beta)}{\Delta_1 \Gamma(3-\beta)} \right) - 1 \right) \right. \\ &\quad \left. + \frac{1}{\Delta_1} \left(\frac{m_2 \xi^{2-\alpha}}{\Gamma(3-\beta)} - 1 \right) \right) \bar{M}_2. \end{aligned}$$

因此, 算子 $T(\Omega)$ 一致有界。

由于 $G_1(t, s), g_2(t, s)$ 在 $[0, \xi] \times [0, \xi]$ 上连续, 所以 $G_1(t, s), g_2(t, s)$ 在 $[0, \xi] \times [0, \xi]$ 上一致连续。因此对任意 $\varepsilon > 0$, 存在 $0 < \delta_1 < \frac{\varepsilon \Delta_1 \Gamma(3-\beta)}{2 |n_2(1-\xi)^{2-\beta} - \Gamma(3-\beta)(1-n_2)| \bar{M}_2}$, 当 $|t_1 - t_2| < \delta_1$ 时, 有

$|G_1(t_1, s) - G_1(t_2, s)| < \frac{\varepsilon}{4\bar{M}_2}, |g_2(t_1, s) - g_2(t_2, s)| < \frac{\varepsilon}{4\bar{M}_2}$ 。因此, 对任意的 $t_1, t_2 \in [0, \xi], |t_1 - t_2| < \delta_1, u \in \Omega$

有

$$\begin{aligned}
|Tu(t_2) - Tu(t_1)| &= \left| \int_0^\xi (G_1(t_1, s) - G_1(t_2, s)) f_1(s, u(s), u(s + \tau_1)) ds \right. \\
&\quad + \int_\xi^1 (g_2(t_1, s) - g_2(t_2, s)) f_2(s, u(s), u(s - \tau_2)) ds \\
&\quad \left. + \frac{t_1 - t_2}{\Delta_1} \left(\frac{Q(\xi, x(\xi)) n_2 (1 - \xi)^{2-\beta}}{\Gamma(3 - \beta)} - \gamma_1(x) \right) \right| \\
&\leq \bar{M}_2 \left(\int_0^\xi |G_1(t_1, s) - G_1(t_2, s)| ds + \int_\xi^1 |g_2(t_1, s) - g_2(t_2, s)| ds \right) \\
&\quad + |t_1 - t_2| \frac{|n_2 (1 - \xi)^{2-\beta} - \Gamma(3 - \beta)(1 - n_2)| \bar{M}_2}{\Delta_1 \Gamma(3 - \beta)} \\
&< \varepsilon.
\end{aligned}$$

又由于 $G_2(t, s)$, $g_1(t, s)$ 在 $[\xi, 1] \times [\xi, 1]$ 上连续, 所以 $G_2(t, s)$, $g_1(t, s)$ 在 $[\xi, 1] \times [\xi, 1]$ 上一致连续。

因此对上述 $\varepsilon > 0$, 存在 $0 < \delta_2 < \frac{\varepsilon \Delta_1 \Gamma(3 - \beta)}{2|m_2 \xi^{2-\alpha} - (m_2 + 1)\Gamma(3 - \beta)|}$, 当 $|t_3 - t_4| < \delta_2$ 时, 有

$$|G_2(t_3, s) - G_2(t_4, s)| < \frac{\varepsilon}{4\bar{M}_2}, \quad |g_1(t_3, s) - g_1(t_4, s)| < \frac{\varepsilon}{4\bar{M}_2}.$$

因此, 对任意的 $t_3, t_4 \in (\xi, 1]$, $|t_3 - t_4| < \delta_2$, $u \in \Omega$, 有

$$\begin{aligned}
|Tu(t_3) - Tu(t_4)| &= \left| \int_\xi^1 (G_2(t_3, s) - G_2(t_4, s)) f_2(s, u(s), u(s - \tau_2)) ds \right. \\
&\quad + \int_0^\xi (g_1(t_3, s) - g_1(t_4, s)) f_1(s, u(s), u(s + \tau_1)) ds \\
&\quad \left. + \frac{t_3 - t_4}{\Delta_1} \left(\frac{Q(\xi, x(\xi)) m_2 \xi^{2-\alpha}}{\Gamma(3 - \beta)} - \gamma_1(x) \right) \right| \\
&\leq \bar{M}_2 \left(\int_\xi^1 |G_2(t_3, s) - G_2(t_4, s)| ds + \int_0^\xi |g_1(t_3, s) - g_1(t_4, s)| ds \right) \\
&\quad + |t_3 - t_4| \frac{|m_2 \xi^{2-\alpha} - (m_2 + 1)\Gamma(3 - \beta)| \bar{M}_2}{\Delta_1 \Gamma(3 - \beta)} \\
&< \varepsilon.
\end{aligned}$$

因此, $T(\Omega)$ 在 $[0, \xi], (\xi, 1]$ 上等度连续, 易知, 当 $t \in [0, 1]$ 时, 对任意 $\varepsilon > 0$, 存在 $\delta_3 > 0$, 当 $|t_5 - t_6| < \delta_3$ 时 $|Tu(t_5) - Tu(t_6)| < \varepsilon$, 因此, $T(\Omega)$ 是等度连续的。

由 Arzela-Ascoli 定理知 $T(\Omega)$ 相对列紧。又因为算子 T 是连续算子, 所以算子 T 是全连续的。证毕。

引理 6: T 为强增算子。

证明: 对任意 $x_1, x_2 \in E, x_1 < x_2$, 即 $x_1(t) \leq x_2(t)$ 且 $x_1(t) \neq x_2(t)$, 由(H2)可得,

$$f_1(t, x_2(t), x_2(t + \tau_1)) - f_1(t, x_1(t), x_1(t + \tau_1)) \geq 0, t \in [0, \xi],$$

$$f_2(t, x_2(t), x_2(t - \tau_2)) - f_2(t, x_1(t), x_1(t - \tau_2)) \geq 0, t \in (\xi, 1],$$

$$(I(\xi, x_2(\xi)) - I(\xi, x_1(\xi))) \geq 0, (Q(\xi, x_2(\xi)) - Q(\xi, x_1(\xi))) \geq 0, t \in [0, 1].$$

由于 $x_1(t) \neq x_2(t)$, 则存在区间 $[a, b] \subset [0, \xi]$ 或 $[a, b] \subset (\xi, 1]$ 使得当 $t \in [a, b]$ 时, $x_1(t) < x_2(t)$ 。因此, 当 $[a, b] \subset [0, \xi]$ 时,

$$\begin{aligned} f_1(t, x_2(t), x_2(t + \tau_1)) - f_1(t, x_1(t), x_1(t + \tau_1)) &> 0, \\ (I(\xi, x_2(\xi)) - I(\xi, x_1(\xi))) &> 0, (Q(\xi, x_2(\xi)) - Q(\xi, x_1(\xi))) > 0, \end{aligned}$$

且由(H3)可得

$$\begin{aligned} \gamma_0(x_2) - \gamma_0(x_1) &= h_0(x_2(0), x_2(1)) - h_0(x_1(0), x_1(1)) \\ &\quad + (m_1 x_2(0) + n_1 x_2(1)) - \begin{pmatrix} m_1 x_1(0) + n_1 x_1(1) \\ \leq 0, \end{pmatrix} \\ \gamma_1(x_2) - \gamma_1(x_1) &= h_1({}_t^c D_{\xi^-}^{\alpha-1} x_2(0), {}_{\xi^+}^c D_t^{\beta-1} x_2(1)) - h_1({}_t^c D_{\xi^-}^{\alpha-1} x_1(0), {}_{\xi^+}^c D_t^{\beta-1} x_1(1)) \\ &\quad + m_2 {}_t^c D_{\xi^-}^{\alpha-1} x_2(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1} x_2(1) - (m_2 {}_t^c D_{\xi^-}^{\alpha-1} x_1(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1} x_1(1)) \\ &\leq 0, \\ \Delta_2^{x_2} - \Delta_2^{x_1} &= -\frac{1}{m_1 + n_1} (n_1 (I(\xi, x_2(\xi)) - I(\xi, x_1(\xi)))) \\ &\quad + n_1 \left(1 - \xi + \frac{n_2 (1 - \xi)^{2-\beta}}{\Delta_1 \Gamma(3-\beta)} \right) (Q(\xi, x_2(\xi)) - Q(\xi, x_1(\xi))) \\ &\quad - \frac{n_1}{\Delta_1} (\gamma_1(x_2) - \gamma_1(x_1)) - (\gamma_0(x_2) - \gamma_0(x_1)) \geq 0, \end{aligned}$$

$$\begin{aligned} &Tx_2(t) - Tx_1(t) \\ &= \int_0^{\xi} G_1(t, s) (f_1(s, x_2(s), x_2(s + \tau_1)) - f_1(s, x_1(s), x_1(s + \tau_1))) ds + \Delta_2^{x_2} - \Delta_2^{x_1} \\ &\quad + \int_{\xi}^1 g_2(t, s) (f_2(t, x_2(t), x_2(t - \tau_2)) - f_2(t, x_1(t), x_1(t - \tau_2))) ds \\ &\quad + \frac{t}{\Delta_1} \left(\frac{(Q(\xi, x_2(\xi)) - Q(\xi, x_1(\xi))) n_2 (1 - \xi)^{2-\beta}}{\Gamma(3-\beta)} - \gamma_1(x_2) - \gamma_1(x_1) \right) \\ &> \int_0^{\xi} G_1(t, s) (f_1(s, x_2(s), x_2(s + \tau_1)) - f_1(s, x_1(s), x_1(s + \tau_1))) ds \\ &> 0. \end{aligned}$$

同理当 $[a, b] \subset (\xi, 1]$ 时,

$$\begin{aligned} &(f_2(t, x_2(t), x_2(t - \tau_2)) - f_2(t, x_1(t), x_1(t - \tau_2))) > 0, \\ \Delta_3^{x_2} - \Delta_3^{x_1} &= -\frac{1}{m_1 + n_1} (-m_1 (I(\xi, x_2(\xi)) - I(\xi, x_1(\xi)))) \\ &\quad + \left(m_1 \xi + n_1 + \frac{n_1 n_2}{\Delta_1 \Gamma(3-\beta)} \right) (Q(\xi, x_2(\xi)) - Q(\xi, x_1(\xi))) \\ &\quad - \frac{n_1}{\Delta_1} (\gamma_1(x_2) - \gamma_1(x_1)) - (\gamma_0(x_2) - \gamma_0(x_1)) \\ &\geq 0, \end{aligned}$$

$$\begin{aligned}
& Tx_2(t) - Tx_1(t) \\
&= \int_{\xi}^1 G_2(t,s) (f_2(s, x_2(s), x_2(s-\tau_2)) - f_2(s, x_1(s), x_1(s-\tau_2))) ds + \Delta_3^{x_2} - \Delta_3^{x_1} \\
&\quad + \int_0^{\xi} g_1(t,s) (f_1(t, x_2(t), x_2(t+\tau_1)) - f_1(t, x_1(t), x_1(t+\tau_1))) ds \\
&\quad + \frac{t}{\Delta_1} \left(\frac{(Q(\xi, x_2(\xi)) - Q(\xi, x_1(\xi))) m_2}{\Gamma(3-\beta)} \xi^{2-\alpha} - \gamma_1(x_2) - \gamma_1(x_1) \right) \\
&> \int_{\xi}^1 G_2(t,s) (f_2(s, x_2(s), x_2(s-\tau_2)) - f_2(s, x_1(s), x_1(s-\tau_2))) ds \\
&> 0.
\end{aligned}$$

综上所述, 对任意 $t \in [0, 1]$ 有 $(Tx_2)(t) > (Tx_1)(t)$, 则 T 为强增算子。

定义 2: 令 $\alpha, \beta \in E$ 。称 α 为边值问题(1)的一个下解, 若 α 满足

$$\begin{cases}
{}_t^c D_{\xi^-}^{\alpha} \alpha(t) \leq f_1(t, \alpha(t), \alpha(t+\tau_1)), & t \in [0, \xi], \\
{}_{\xi^+}^c D_t^{\beta} \alpha(t) \leq f_2(t, \alpha(t), \alpha(t-\tau_2)), & t \in (\xi, 1], \\
\Delta \alpha(\xi) \leq I(\xi, \alpha(\xi)), \quad \Delta \alpha'(\xi) \leq Q(\xi, \alpha(\xi)), \\
h_0(\alpha(0), \alpha(1)) \leq 0, \quad h_1({}_t^c D_{\xi^-}^{\alpha-1} \alpha(0), {}_{\xi^+}^c D_t^{\beta-1} \alpha(1)) \geq 0.
\end{cases}$$

称 β 为边值问题(1)的一个下解, 若 β 满足

$$\begin{cases}
{}_t^c D_{\xi^-}^{\alpha} \beta(t) \geq f_1(t, \beta(t), \beta(t+\tau_1)), & t \in [0, \xi], \\
{}_{\xi^+}^c D_t^{\beta} \beta(t) \geq f_2(t, \beta(t), \beta(t-\tau_2)), & t \in (\xi, 1], \\
\Delta \beta(\xi) \geq I(\xi, \beta(\xi)), \quad \Delta \beta'(\xi) \geq Q(\xi, \beta(\xi)), \\
h_0(\beta(0), \beta(1)) \geq 0, \quad h_1({}_t^c D_{\xi^-}^{\alpha-1} \beta(0), {}_{\xi^+}^c D_t^{\beta-1} \beta(1)) \leq 0.
\end{cases}$$

4. 主要结论

定理 1: 假设(H1)、(H2)、(H3)成立, 且边值问题(1)存在两个下解 α_1, α_2 和两个上解 β_1, β_2 , 且 α_2, β_1 不是边值问题(1)的解,

$$\alpha_1 < \beta_1 < \alpha_2 < \beta_2.$$

则边值问题(1)至少存在三个不同的解 u_1, u_2, u_3 满足:

$$\alpha_1 \leq u_1 < \beta_1, \alpha_2 < u_2 \leq \beta_2, \alpha_2 \neq u_3 \neq \beta_1.$$

证明: 令算子 T 在 $[\alpha_1, \beta_1]$ 上, $T|_{[\alpha_1, \beta_1]}$ 也记作 T 。由引理 5 和引理 6 可得, $T: [\alpha_1, \beta_1] \rightarrow P$ 是一个全连续强增算子。

通过定义算子 T 可得,

$$\left\{ \begin{aligned} & {}_t^c D_{\xi^-}^\alpha (T\alpha_1)(t) = f_1(t, \alpha_1(t), \alpha_1(t + \tau_1)), \quad t \in (0, \xi), \\ & {}_{\xi^+}^c D_t^\beta (T\alpha_1)(t) = f_2(t, \alpha_1(t), \alpha_1(t - \tau_2)), \quad t \in (\xi, 1), \\ & \Delta(T\alpha_1)(\xi) = I(\xi, \alpha_1(\xi)), \quad \Delta(T\alpha_1)'(\xi) = Q(\xi, \alpha_1(\xi)), \\ & m_1(T\alpha_1)(0) + n_1(T\alpha_1)(1) = h_0(\alpha_1(0), \alpha_1(1)) + m_1\alpha_1(0) + n_1\alpha_1(1), \\ & m_2 {}_t^c D_{\xi^-}^{\alpha-1}(T\alpha_1)(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1}(T\alpha_1)(1) \\ & = h_1\left({}_t^c D_{\xi^-}^{\alpha-1}\alpha_1(0), {}_{\xi^+}^c D_t^{\beta-1}\alpha_1(1)\right) + m_2 {}_t^c D_{\xi^-}^{\alpha-1}\alpha_1(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1}\alpha_1(1). \end{aligned} \right.$$

令 $\alpha(t) = (T\alpha_1)(t) - \alpha_1(t)$ 。由于 α_1 是边值问题(1)的一个下解, 则

$$\begin{aligned} & {}_t^c D_{\xi^-}^\alpha \alpha(t) = {}_t^c D_{\xi^-}^\alpha (T\alpha_1)(t) - {}_t^c D_{\xi^-}^\alpha \alpha_1(t) = f_1(t, \alpha_1(t), \alpha_1(t + \tau_1)) - {}_t^c D_{\xi^-}^\alpha \alpha_1(t) \geq 0, \quad t \in (0, \xi), \\ & {}_{\xi^+}^c D_t^\beta \alpha(t) = {}_{\xi^+}^c D_t^\beta (T\alpha_1)(t) - {}_{\xi^+}^c D_t^\beta \alpha_1(t) = f_2(t, \alpha_1(t), \alpha_1(t - \tau_2)) - {}_{\xi^+}^c D_t^\beta \alpha_1(t) \geq 0, \quad t \in (\xi, 1), \end{aligned}$$

且

$$\begin{aligned} m_1\alpha(0) + n_1\alpha(1) &= m_1((T\alpha_1)(0) - \alpha_1(0)) + n_1((T\alpha_1)(1) - \alpha_1(1)) \\ &= (m_1(T\alpha_1)(0) + n_1(T\alpha_1)(1)) - (m_1\alpha_1(0) + n_1\alpha_1(1)) \\ &= h_0(\alpha_1(0), \alpha_1(1)) + m_1\alpha_1(0) + n_1\alpha_1(1) - (m_1\alpha_1(0) + n_1\alpha_1(1)) \\ &= h_0(\alpha_1(0), \alpha_1(1)) \\ &\leq 0. \end{aligned}$$

$$\begin{aligned} & m_2 {}_t^c D_{\xi^-}^{\alpha-1}\alpha(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1}\alpha(1) \\ &= m_2 {}_t^c D_{\xi^-}^{\alpha-1}((T\alpha_1)(0) - \alpha_1(0)) + n_2 {}_{\xi^+}^c D_t^{\beta-1}((T\alpha_1)(1) - \alpha_1(1)) \\ &= m_2 {}_t^c D_{\xi^-}^{\alpha-1}(T\alpha_1)(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1}(T\alpha_1)(1) - (m_2 {}_t^c D_{\xi^-}^{\alpha-1}\alpha_1(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1}\alpha_1(1)) \\ &= h_1\left({}_t^c D_{\xi^-}^{\alpha-1}\alpha_1(0), {}_{\xi^+}^c D_t^{\beta-1}\alpha_1(1)\right) + m_2 {}_t^c D_{\xi^-}^{\alpha-1}\alpha_1(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1}\alpha_1(1) \\ &\quad - (m_2 {}_t^c D_{\xi^-}^{\alpha-1}\alpha_1(0) + n_2 {}_{\xi^+}^c D_t^{\beta-1}\alpha_1(1)) = h_1\left({}_t^c D_{\xi^-}^{\alpha-1}\alpha_1(0), {}_{\xi^+}^c D_t^{\beta-1}\alpha_1(1)\right) \geq 0. \\ & \Delta\alpha(\xi) = \Delta(T\alpha_1)(\xi) - \Delta\alpha_1(\xi) = I(\xi, \alpha_1(\xi)) - \Delta\alpha_1(\xi) \geq 0, \\ & \Delta\alpha'(\xi) = \Delta(T\alpha_1)'(\xi) - \Delta\alpha_1'(\xi) = Q(\xi, \alpha_1(\xi)) - \Delta\alpha_1'(\xi) \geq 0. \end{aligned}$$

由引理 4 可知, $\alpha(t) \geq 0$ 。

因此, $\alpha_1 \leq T\alpha_1$ 。同理可得, $\alpha_2 \leq T\alpha_2$ 。

由于 α_2 是边值问题(1)的一个下解但不是边值问题(1)的解, 则 $(T\alpha_2) \neq \alpha_2$ 。因此,

$$\alpha_2 \prec T\alpha_2.$$

类似可得,

$$T\beta_1 \prec \beta_1, T\beta_2 \leq \beta_2.$$

由引理 1 可知, 算子 T 至少有三个不动点 $x_1, x_2, x_3 \in [\alpha_1, \beta_2]$ 使得

$$\alpha_1 \leq x_1 < \beta_1, \alpha_2 < x_2 \leq \beta_2, \alpha_2 \neq x_2 \neq \beta_2.$$

因此, 边值问题(1)至少有三个不同解。

证毕。

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